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SEP 15 2005

## FACSIMILE COVER SHEET

<b>Date:</b>	<b>September 15, 2005</b>	<b>Fax:</b>	<b>571-273-8300</b>
<b>To:</b>	<b>U.S. Patent and Trademark Office</b>	<b>Phone:</b>	

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

<b>From:</b>	<b>Rachel V. Leiterman</b>
<b>Serial No.:</b>	<b>10/804,810</b>
<b>Docket:</b>	<b>LUM-03-05-01</b>
<b>Re:</b>	<b>Information Disclosure Statement</b>
<b>Pages:</b>	<b>8 (including cover sheet)</b>

**Message:**

Re: Applicant(s): Jonathan J. Wierer, Jr., Michael R. Krames, John E. Epler  
Assignee: Lumileds Lighting U.S., LLC  
Title: Photonic Crystal Light Emitting Device  
Serial No.: 10/804,810  
Examiner: Ho, Tu Tu V  
Docket No.: LUM-03-05-01

Filed: March 19, 2004  
Group Art Unit: 2818

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Transmittal Letter (1 page);
- (2) Information Disclosure Statement (1 page);
- (3) Forms PTO/SB/08A and PTO/SB/08B citing 3 references (2 pages);
- (4) One cited reference (3 pages);
- (5) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

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Brian D. Ogonowsky

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David C. Hsia

Rachel V. Leiterman

September 15, 2005

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Jonathan J. Wicrer, Jr., Michael R. Kramcs, John E. Epler  
Assignee: Lumileds Lighting U.S., LLC  
Title: Photonic Crystal Light Emitting Device  
Serial No.: 10/804,810  
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- ☒ No additional fee is required.  
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<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.		
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*R. Leiterman* 9/15/05  
Signature Date

Respectfully submitted,

*R. Leiterman*  
Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868

2635 North First Street, Suite 223, San Jose, CA 95134 ph: (408) 382-0480 fax: (408) 382-0481 www.patentlawgroup.com

SEP 15 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jonathan J. Wierer, Jr., Michael R. Krames, John E. Epler  
Assignee: Lumileds Lighting U.S., LLC  
Title: Photonic Crystal Light Emitting Device  
Serial No.: 10/804,810 Filing Date: March 19, 2004  
Examiner: Ho, Tu Tu V Group Art Unit: 2818  
Docket No.: LUM-03-05-01

San Jose, California  
September 15, 2005

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying forms PTO/SB/08A and PTO/SB/08B are called to the attention of the Examiner for the above patent application. A copy of the Search Report is enclosed. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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*R. Leiterman* 9/15/05  
Signature Date

Respectfully submitted,

*R. Leiterman*  
Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868

PTQ/SB/08A (08-03)

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1
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**1**

9

2

**Complete if Known**

Application Number	10/804,810
Filing Date	March 19, 2004
First Named Inventor	Jonathan J. Wierer Jr.
Art Unit	2818
Examiner Name	Ho, Tu Tu V
Attorney Docket Number	LUM-03-05-01

[illegible][illegible]

**Examiner  
Signature**

Date Considered

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	Not yet assigned
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Filing Date	March 19, 2004
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First Named Inventor	Jonathan J. Wierer.
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Art Unit	2818
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Examiner Name	Ho, Tu Tu V
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Attorney Docket Number	LUM-03-05-01
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Sheet	2	of	2
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**NON PATENT LITERATURE DOCUMENTS**

[illegible]

**Examiner  
Signature**

Date \_\_\_\_\_

**Considered**

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